



GRETCHEN WHITMER
GOVERNOR

STATE OF MICHIGAN
DEPARTMENT OF TRANSPORTATION
LANSING

PAUL C. AJEGBA
DIRECTOR

September 5, 2019

Mr. Brandon King
Ajax Paving Industries, Inc.
1957 Crooks Road, Suite A
Troy, Michigan 48084

Dear Mr. Brandon King:

SUBJECT: Request for Modification of Disadvantaged Business Enterprise (DBE)
Requirement – 82000-205935

Ajax Paving Industries Inc.'s request to modify the DBE participation goal on the noted project has been received by the Michigan Department of Transportation's (MDOT) Good Faith Efforts (GFE) Committee.

It is the determination of the GFE Committee to approve the request to reduce the DBE participation goal on the project from **4 percent to 0.56 percent**.

While it is the committee's determination to approve this modification, this decision does not establish any precedent for future requests. The GFE Committee members recommend an increased effort in the future to meet all DBE goals.

If you have any questions about this determination, please telephone me at (517) 335-1708.

Sincerely,

A handwritten signature in black ink, appearing to read "Lisa Thompson".

Lisa Thompson, Chair
GFE Committee

**DISADVANTAGED BUSINESS
ENTERPRISE (DBE) PARTICIPATION
(SUMMARY)**

Page _____ of _____

Prime contractor must submit this form within 5 calendar days of the Bid Letting to the Michigan Department of Transportation by email to mdot-awards@michigan.gov specifying DBE firms to be used on this project.

Prime contract dollar value: \$ 14,761,888.88

Check this box if this project does not have a DBE participation goal (i.e., race-neutral) ☐

Check this box if the Prime Contractor is a Certified DBE ☐

Check this box if the Prime Contractor is requesting a GFE (Form 0188 or 0188A) ☒

LETTING DATE

6/28/19

LETTING ITEM NO.

1906 912

CONTRACT ID
82000-205935

PRIME CONTRACTOR
Ajax Paving Industries, Inc

PRIME EMAIL ADDRESS
bking@ajaxpaving.com

PRIME PHONE
248-244-3300

PRIME CONTACT PERSON
Brandon King

DEPARTMENT'S PARTICIPATION GOAL

4.00 % = \$ 590,475.56

CONTRACTOR PROPOSED PARTICIPATION

0.56 % = \$ 83,150.00

NOTE: Each company listed on the following pages must have current DBE certification on file in the designated areas of work with the Department. These pages must be signed by both the DBE and prime contractor and returned to the Contracts Services Division as a package. Complete a separate following page for each DBE subcontractor. **Current DBE Directories are maintained on MDOT's Web Site: <http://www.michigan.gov/mucp>.**

If prequalification is required, credit may be given only for firms who are both prequalified and DBE certified in the applicable work type(s). Supply purchase orders and trucking agreements may count as DBE participation credit as follows: Manufacturer = 100%; Regular Dealer = 60%; Supply Broker = Fee; Trucker = 100%; Truck Broker = Fee.

Subcontracts and/or purchase orders must be submitted to the Project Engineer before each DBE begins its project work. Should a DBE be unable to fulfill its commitment, the prime contractor must comply with contractual "Requirements for DBE" and section 102.18 of MDOTs "Standard Specification for Construction."

List the DBEs for the project. Include their MDOT Vendor Number, work code(s) being performed, and the dollar value of the proposed subcontract or purchase order. If the firm is Supplying as a Regular Dealer, list the 60% amount below. Detailed information shall be provided on the following pages.

DBE NAME Hamlin Trucking	MDOT VENDOR # 08839	MDOT WORK CODE(S) (IF APPLICABLE) RJ	DOLLAR AMOUNT \$25,000.00
DBE NAME Jonny Heinz Landscaping	MDOT VENDOR # 07699 0730B	I	DOLLAR AMOUNT \$45,280.00
DBE NAME Alpine Engineering	MDOT VENDOR # 06642	scs	DOLLAR AMOUNT \$12,870.00
DBE NAME	MDOT VENDOR #		DOLLAR AMOUNT
DBE NAME	MDOT VENDOR #		DOLLAR AMOUNT
DBE NAME	MDOT VENDOR #		DOLLAR AMOUNT
DBE NAME	MDOT VENDOR #		DOLLAR AMOUNT
DBE NAME	MDOT VENDOR #		DOLLAR AMOUNT
DBE NAME	MDOT VENDOR #		DOLLAR AMOUNT
DBE NAME	MDOT VENDOR #		DOLLAR AMOUNT

Federal regulations require work committed to DBEs may not be performed by others without prior consent by MDOT. MDOT Form 0196 must be completed and fully approved prior to substitution, along with approved participation sheets for the substitute DBE(s). Failure to meet the above requirements may result in sanctions. Please refer to the MDOT DBE Program Procedures for DBE Substitution and CUF requirements. This is not binding until signed by all parties and approved by Contract Services Division.

DISADVANTAGED BUSINESS ENTERPRISE (DBE) PARTICIPATION (DETAIL)

☐ Check if this is a post-award modification of the original DBE commitment		LETTING DATE 6/28/19	
☐ Check this box if this contract has no DBE participation goals (i.e., race-neutral)		LETTING ITEM NO. 1906 912	
DBE FIRM NAME Hamlin Trucking, Inc		CONTRACT ID 82000-205935	
DBE CONTACT PERSON NAME Laura Hamlin		PRIME CONTRACTOR Ajax Paving Industries, Inc	
ADDRESS 9601 Marshall	CITY South Lyon	STATE MI	ZIP CODE 48178
DBE PHONE NUMBER 734-761-7500	DBE EMAIL ADDRESS laurajhamlin@att.net		

DBE SUPPLY CREDIT TO BE COUNTED AS (check one): (Must Include cost of delivery for Manufacturers and Regular Dealers)

- ☐ BROKER (Count 100% of Broker fees/commissions)
 ☐ Manufacturer (Count 100% of the value of goods supplied)
☐ REGULAR DEALER (Count 60% of the value of goods supplied) OR
 ☐ REGULAR DEALER / BULK ITEM

Name all suppliers DBE Supplier will be purchasing items from for this project (if applicable): _____

Method of Delivery to project site (if applicable): _____

Anticipated Date(s) of Transaction: _____

FOR DBE SUPPLY CREDIT – Form 0178 Must be accompanied by a completed MDOT Form 0193** (Supplier Affidavit)

FULLY DESCRIBE THE TYPE OF WORK OR SERVICE THIS DBE WILL PROVIDE AND APPLICABLE WORK CODE(S) AND/OR NAICS CODE(S). FAILURE TO PROVIDE ALL RELEVANT INFORMATION WILL RESULT IN THE DELAY OF AWARD.

FOR DBE TRUCKING CREDIT (RJ) – Form 0178 Must be accompanied by a completed MDOT Form 4101** (Trucking Worksheet)

MDOT WORK CODE(S) (If Applicable):	NAICS CODE(S):	DETAILED DESCRIPTION OF WORK BEING PERFORMED (if Applicable - MUST INCLUDE LOCATION(S) OF WORK BEING PERFORMED, MATERIAL TYPE/GRADE/CLASS, QUANTITIES AND PRICE):	DOLLAR AMOUNT FOR DBE CREDIT:
RJ	484110	Trucking of liquid asphalt	25000.00

(ADD ADDITIONAL PAGES IF NECESSARY)

TOTAL: \$ 25,000.00

ACKNOWLEDGED BY

By signing this commitment, we certify that the DBE firm is MDOT-certified as a DBE, and, if prequalification is required, is prequalified in the types of work to be performed. THIS IS NOT BINDING UNTIL SIGNED BY ALL PARTIES AND THE CONTRACT IS AWARDED BY CONTRACT SERVICES DIVISION.

DBE AUTHORIZED SIGNATURE Laura Hamlin Digitally signed by Laura Hamlin Date: 2019.06.28 12:54:07 -04'00'	TITLE Bus. Div&Compliance Mgr	DATE 6/28/19
PRIME CONTRACTOR AUTHORIZED SIGNATURE Brandon G. King Digitally signed by Brandon G. King DN: CN = Brandon G. King email = bking@ajaxpaving.com O = State of Michigan Date: 2019.07.01 11:50:02 -04'00'	TITLE Estimating Manager	DATE 7/1/2019

** Additional forms for DBE Trucking (4101) and Supply (0193) must be submitted by the Prime Contractor to Contract Services Division in accordance with MDOT's DBE Program Procedures.

DISADVANTAGED BUSINESS ENTERPRISE (DBE) HEAVY CONSTRUCTION TRUCKING CREDIT WORKSHEET

Information listed below must be complete and in compliance with the DBE CUF requirements under 49 CFR Part 26.55. This form is only used for DBE Trucking work (MDOT Code RJ). Worksheet must accompany MDOT's DBE Participation Form 0178.

MDOT CONTRACT IDENTIFICATION NUMBER 82000-205935		CONTRACT LOCATION Various Detroit, Wayne
DBE TRUCKER Hamlin Trucking, Inc		PRIME CONTRACTOR Ajax Paving Industries, Inc
EXPECTED START DATE 9/1/19	EXPECTED COMPLETION DATE 9/1/20	NAME OF CONTRACTOR PAYING YOUR FIRM Ajax Paving Industries, Inc
TOTAL NUMBER OF TRUCKS BEING USED ON THIS CONTRACT (OWNED + LEASED) <u>7</u> Trucks Total		TOTAL DOLLAR VALUE OF YOUR TRUCKING COMMITMENT FOR THIS CONTRACT \$ <u>25,000.00</u>
TYPE OF MATERIAL BEING HAULED Liquid HMA		COST PER UNIT FOR HAULING \$ <u>9.00</u> PER ☐ HOUR or ☒ TON

TRUCKS YOU WILL USE ON THIS CONTRACT

TRUCKS OWNED BY YOUR FIRM

What Type(s) of Trailers are you using on this contract? tankers	Do you own the trailers? ☒ Yes or ☐ No If not, who owns them?	Number of owned trucks that you will use on this contract <u>7</u> Trucks	Total Dollar Value of Work for your firm \$ <u>25,000.00</u>
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TRUCKS LEASED FROM ADDITIONAL DBEs

Name of additional DBEs that you will use on this contract	Number of trucks being leased per DBE firm	Total Dollar Value of Work for each additional DBE firm
TOTALS	<u> </u> Trucks	\$ <u> </u>

TRUCKS LEASED* FROM NON-DBEs

Name of Non-DBEs that you will use on this contract	Number of trucks being leased per Non-DBE firm	Total Dollar Value of Work for each Non-DBE firm
TOTALS	<u> </u> Trucks	\$ <u> </u>

What is YOUR TRANSPORTATION FEE/COMMISSION FOR EACH LEASED NON-DBE TRUCK (percentage)? %

**Lease agreement(s) must be on file with the Office of Business Development (OBD) for the Non-DBEs listed above. Failure to provide lease(s) for the above firms in accordance with the MDOT's DBE Program Procedures will result in delay of approval. If there are any changes to this form, once approved by MDOT, a revised form must be submitted to OBD within two weeks of the change. If you need additional information or have questions regarding the completion of this form or submittal of this information, please contact OBD via email at mdot-dbe@michigan.gov or by phone 1-866-DBE-1264.*

False statements on this form or fraudulent documentation provided could result in sanctions by federal authorities and/or MDOT.

DBE FIRM AUTHORIZED SIGNATURE Laura Hamlin	Digitally signed by Laura Hamlin Date: 2019.07.17 19:48:49 -04'00'	DATE 7/18/19
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FOR MDOT INTERNAL USE ONLY

TOTAL NUMBER OF TRUCKS FOR DBE CREDIT <u>7</u>	TOTAL # OF DBE TRUCKS <u>7</u>	TOTAL # OF NON-DBE TRUCKS <u>0</u>
TOTAL CREDIT FOR TRUCKING \$ <u>25,000.00</u>	DBE \$ <u>25,000.00</u>	NON-DBE \$ <u>0</u>
MDOT REVIEWER APPROVAL SIGNATURE 		DATE <u>7-19-2019</u>

DISADVANTAGED BUSINESS ENTERPRISE (DBE) PARTICIPATION (DETAIL)

☐ Check if this is a post-award modification of the original DBE commitment		LETTING DATE 6/28/19	
☐ Check this box if this contract has no DBE participation goals (i.e., race-neutral)		LETTING ITEM NO. 1906 912	
DBE FIRM NAME Jonny Heinz Landscaping, LLC		CONTRACT ID 82000-205935	
DBE CONTACT PERSON NAME Cynthia Malott-Heinz		PRIME CONTRACTOR Ajax Paving Industries, Inc	
ADDRESS 649 Leddy Road	CITY Saginaw	STATE MI	ZIP CODE 48609
DBE PHONE NUMBER 989-781-2264	DBE EMAIL ADDRESS cindyheinz@aol.com		

DBE SUPPLY CREDIT TO BE COUNTED AS (check one): (Must include cost of delivery for Manufacturers and Regular Dealers)

- ☐ BROKER (Count 100% of Broker fees/commissions)
 ☐ Manufacturer (Count 100% of the value of goods supplied)
☐ REGULAR DEALER (Count 60% of the value of goods supplied)
 OR
☐ REGULAR DEALER / BULK ITEM

Name all suppliers DBE Supplier will be purchasing items from for this project (if applicable): _____

Method of Delivery to project site (if applicable): _____

Anticipated Date(s) of Transaction: _____

FOR DBE SUPPLY CREDIT – Form 0178 Must be accompanied by a completed MDOT Form 0193** (Supplier Affidavit)

FULLY DESCRIBE THE TYPE OF WORK OR SERVICE THIS DBE WILL PROVIDE AND APPLICABLE WORK CODE(S) AND/OR NAICS CODE(S). **FAILURE TO PROVIDE ALL RELEVANT INFORMATION WILL RESULT IN THE DELAY OF AWARD.**

FOR DBE TRUCKING CREDIT (RJ) – Form 0178 Must be accompanied by a completed MDOT Form 4101** (Trucking Worksheet)

MDOT WORK CODE(S) (if Applicable):	NAICS CODE(S):	DETAILED DESCRIPTION OF WORK BEING PERFORMED (if Applicable - MUST INCLUDE LOCATION(S) OF WORK BEING PERFORMED, MATERIAL TYPE/GRADE/CLASS, QUANTITIES AND PRICE):	DOLLAR AMOUNT FOR DBE CREDIT:
I	561730	Sodding Water, Sodding/Seeding Topsoil Surface, Fum, LM, Modified	45280.00

(ADD ADDITIONAL PAGES IF NECESSARY)

TOTAL: \$ 45,280.00

ACKNOWLEDGED BY

By signing this commitment, we certify that the DBE firm is MDOT-certified as a DBE, and, if prequalification is required, is prequalified in the types of work to be performed. THIS IS NOT BINDING UNTIL SIGNED BY ALL PARTIES AND THE CONTRACT IS AWARDED BY CONTRACT SERVICES DIVISION.

DBE AUTHORIZED SIGNATURE Cari Ann Berkobien	TITLE MDOT Mgr.	DATE 7-1-2019
PRIME CONTRACTOR AUTHORIZED SIGNATURE Brandon G. King	TITLE Estimating Manager	DATE 7/1/2019

** Additional forms for DBE Trucking (4101) and Supply (0193) must be submitted by the Prime Contractor to Contract Services Division in accordance with MDOT's DBE Program Procedures.

DISADVANTAGED BUSINESS ENTERPRISE (DBE) PARTICIPATION (DETAIL)

☐ Check if this is a post-award modification of the original DBE commitment		LETTING DATE 6/28/19	
☐ Check this box if this contract has no DBE participation goals (i.e., race-neutral)		LETTING ITEM NO. 1906 912	
DBE FIRM NAME Alpine Engineering		CONTRACT ID 82000-205935	
DBE CONTACT PERSON NAME Ginger Michalski-Wallace		PRIME CONTRACTOR Ajax Paving Industries, Inc	
ADDRESS 46892 West Road Ste 109	CITY Novi	STATE MI	ZIP CODE 48377
DBE PHONE NUMBER 248-926-3701	DBE EMAIL ADDRESS ginger@alpine-inc.net		

DBE SUPPLY CREDIT TO BE COUNTED AS (check one): (Must include cost of delivery for Manufacturers and Regular Dealers)

- ☐ BROKER (Count 100% of Broker fees/commissions)
 ☐ Manufacturer (Count 100% of the value of goods supplied)
☐ REGULAR DEALER (Count 60% of the value of goods supplied)
 OR
☐ REGULAR DEALER / BULK ITEM

Name all suppliers DBE Supplier will be purchasing items from for this project (if applicable): _____

Method of Delivery to project site (if applicable): _____

Anticipated Date(s) of Transaction: _____

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scs	541370	Monument Box Monument Preservation	12870.00

(ADD ADDITIONAL PAGES IF NECESSARY)

TOTAL: \$ 12,870.00

ACKNOWLEDGED BY

By signing this commitment, we certify that the DBE firm is MDOT-certified as a DBE, and, if prequalification is required, is prequalified in the types of work to be performed. THIS IS NOT BINDING UNTIL SIGNED BY ALL PARTIES AND THE CONTRACT IS AWARDED BY CONTRACT SERVICES DIVISION.

DBE AUTHORIZED SIGNATURE Ginger Michalski-Wallace Jun 28 2019 12:57 PM	TITLE President	DATE 06-28-2019
PRIME CONTRACTOR AUTHORIZED SIGNATURE Digitally signed by: Brandon G. King DN: CN = Brandon G. King email = bking@ajaxpaving, c=US, o = State of Michigan Date: 2019.07.01 11:49:28 -0400 Brandon G. King	TITLE Estimating Manager	DATE 7/1/2019

** Additional forms for DBE Trucking (4101) and Supply (0193) must be submitted by the Prime Contractor to Contract Services Division in accordance with MDOT's DBE Program Procedures.